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BEFORE THE ARIZONA CORPORATION COMMISSION

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MARC SPITZER, Chairman
JIM IRVIN
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AZ CORP COMMISSION
DOCUMENT CONTROL

IN THE MATTER OF THE.

DOCKET NO. S-03489A-03-0000

KATHLEEN WHORLEY SOMMER
[CRD No. 27500361],
dba Sommer & Associates
249 W. Waltann Lane
Phoenix, AZ 85023

Arizona Corporation Commission

DOCKETED

APR 10 2003

and JOHN DOE, husband and wife,

DOCKETED BY

Respondents.

PROCEDURAL ORDER**BY THE COMMISSION:**

On February 25, 2003, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Kathleen Whorley Sommer and John Doe ("Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of investment contracts.

The Respondents were duly served with a copy of the Notice.

On March 25, 2003, a request for hearing and Motion to Dismiss ("Motion") was filed for Respondents.

On March 26, 2003, the Division filed a response to Respondent's Motion and requested a pre-hearing be set.

On April 1, 2003, by Procedural Order, a pre-hearing conference was scheduled.

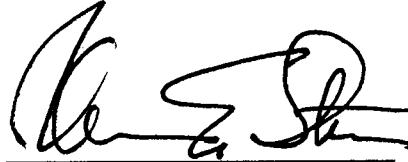
On April 10, 2003, the pre-hearing conference was held with the Division and Respondent represented by counsel. Discovery and scheduling of the proceeding were discussed. Counsel for the Division indicated a planned absence from April 11, 2003 until early July, 2003 that would require the matter be held in abeyance until that time. It was agreed that an additional pre-hearing

1 conference be scheduled in July, 2003.

2 Accordingly, a pre-hearing conference should be scheduled.

3 IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on July 15, 2003
4 at 9:30 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

5 DATED this 10TH day of April, 2003.

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8 

9 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

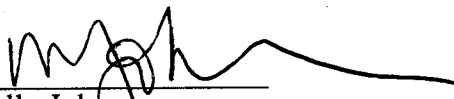
10 Copies of the foregoing mailed/delivered
11 this 10 day of April, 2003 to:

12 Harry N. Stone
13 3030 N. 3rd Street
14 Phoenix, AZ 85012
Attorneys for Respondent

15 W. Mark Sendrow, Director
16 Securities Division
17 ARIZONA CORPORATION COMMISSION
18 1300 West Washington Street
19 Phoenix, AZ 85007

20 ARIZONA REPORTING SERVICE, INC.
21 2627 N. Third Street, Ste. Three
22 Phoenix, AZ 85004

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25
26
27
28
By:


Molly Johnson
Secretary to Marc E. Stern